

CSCI 340: Computational Models

# Nonregular Languages

# Nonregular Languages

## Definition

A language that cannot be defined by a regular expression is called a **nonregular** language.

By Kleene's Theorem, a nonregular language can also not be accepted by any Finite Automaton (DFA or NFA) or by any Transition Graph.

## Example

$$L = \{\lambda \text{ } ab \text{ } aabb \text{ } aaabbb \text{ } aaaabbbb \text{ } \dots\}$$

or alternatively defined as:

$$L = \{a^n b^n\}$$

# The Pumping Lemma

## Lemma

Let  $L$  be any regular language that has infinitely many words. Then there exists some three strings  $x$ ,  $y$ , and  $z$  (where  $y$  is **not** the null string) such that all strings of the form

$$xy^n z \text{ for } n = 1\ 2\ 3\ \dots$$

are words in  $L$ .

# The Pumping Lemma

## Proof (start...)

If  $L$  is a regular language, then there is an FA that accepts exactly the words in  $L$  and no more. This FA will have a finite number of states but infinitely many words. This means there is some cycle.

Let  $w$  be some word in  $L$  that has more letters in it than there are states in the machine. When this word generates a path through the machine, we **must** revisit a state that it has been to before.

## Continuing the Proof of the Pumping Lemma (2/3)

Let us break up the word  $w$  into three parts:

- 1 Let  $x$  be all the letters of  $w$  starting at the beginning that lead up to the first state that is revisited.  $x$  may be the null string.
- 2 Let  $y$  denote the substring of  $w$  that travels around the “circuit” which loops.  $y$  cannot be the null string.
- 3 Let  $z$  be the rest of the letters in  $w$  that starts after  $y$ . This  $z$  could be null. The path for  $z$  could also possibly loop around the  $y$ -circuit (it's arbitrary).

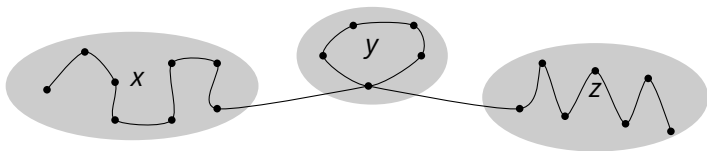
Clearly, from this definition given above,

$$w = xyz$$

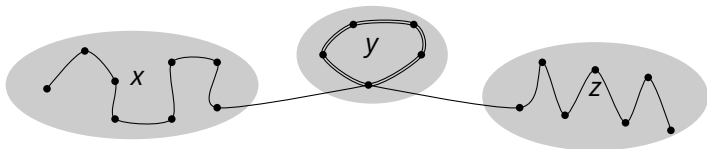
and  $w$  is accepted by this machine.

## Continuing the Proof of the Pumping Lemma (3/3)

**Q1:** What is the path through this machine of the input string  $xyz$ ?

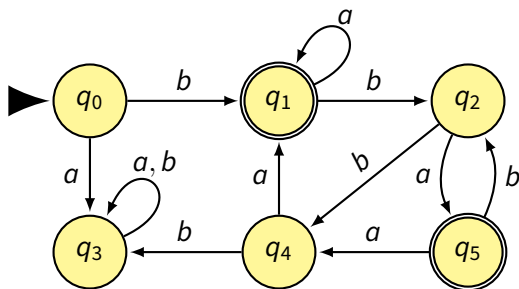


**Q2:** What is the path through this machine of the input string  $xyyz$ ?



*Note: All languages  $L$  must be of the form  $w = xy^n z$  for this to be “accepted”. If they were not of this form, then the FA would not have such a trace.*

# Example



$w = bbbababa$

$w = \begin{array}{ccc} b & baa & baba \\ x & y & z \end{array}$

What would happen when  $w = xyz = b \ bba \ bba \ baba$ ?

## Show $L$ is Non-regular with the Pumping Lemma

Suppose for a moment that we never talked about  $L = \{a^n b^n\}$

The pumping lemma states there must be strings  $x, y$ , and  $z$  such that all words of the form  $xy^n z$  are in  $L$ . Is this possible?

*aaa . . . aaaabbbb . . . bbb*

- If  $y$  is made entirely of  $a$ 's then when we pump to  $xyyz$ , the word will have more  $a$ 's than  $b$ 's.
- If  $y$  is made entirely of  $b$ 's then when we pump to  $xyyz$ , the word will have more  $b$ 's than  $a$ 's.
- $y$  **must** be made up of some number of  $a$ 's followed by some number of  $b$ 's. This means  $xyyz$  would have two copies of the substring  $ab$ . Our original language prohibits this. Therefore,  $xyyz$  cannot be a word in  $L$ . And  $L$  is not regular.



## Another Example of Showing $L$ is Non-regular

Once we have shown  $\{a^n b^n\}$  is non-regular, we can show that the language EQUAL (all words with the same total number of  $a$ 's and  $b$ 's) is also non-regular.

- The language  $\{a^n b^n\}$  is the *intersection* of all words defined by the regular expression  $\mathbf{a^* b^*}$  and the language EQUAL.

$$\{a^n b^n\} = \mathbf{a^* b^*} \cap \text{EQUAL}$$

- If EQUAL were a regular language, then  $\{a^n b^n\}$  would be the intersection of two regular language (as discussed in Chapter 9). Additionally, it would need to be regular itself (which it is not).
- Therefore, EQUAL cannot be regular since  $\{a^n b^n\}$  is non-regular.

## Yet Another Non-regular Language

Consider the language  $L = a^n b a^n = \{b \text{ } aba \text{ } aabaa \text{ } aaabaaa \text{ } \dots\}$ .  
If this language were regular, then we know the Pumping Lemma would have to hold true.

- $xyz$  and  $xyyz$  would both need to be in  $L$
- *Observation 1:* If the  $y$  string contained the  $b$ , then  $xyyz$  would contain two  $b$ 's. This is not possible –  $xyyz$  is not part of  $L$
- *Observation 2:* If the  $y$  string contained all  $a$ 's then the  $b$  in the middle is either on the  $x$  or  $z$  side. In either case,  $xyyz$  would increase the number of  $a$ 's either before or after the  $b$
- *Conclusion 1:*  $xyyz$  does not have  $b$  in the middle and is not of the form  $a^n b a^n$
- *Conclusion 2:*  $L$  cannot be pumped and is therefore not regular

## Additional Examples (on Chalkboard)

- 1  $a^n b^n a b^{n+1}$
- 2 PALINDROME
- 3 PRIME =  $\{a^p \text{ where } p \text{ is a prime}\}$

### Plus a Stronger Theorem

Let  $L$  be an infinite language accepted by a finite automaton with  $N$  states. Then for all words  $w$  in  $L$  that have more than  $N$  letters, there are strings  $x, y,$  and  $z,$  where  $y$  is not null and  $\text{length}(x) + \text{length}(y)$  does not exceed  $N$  such that

$$w = xyz$$

and all strings of the form

$$xy^n z \text{ (for } n = 1 \ 2 \ 3 \ \dots)$$

are in  $L$



# Limitations of the pumping lemma

The pumping lemma is *negative* in its application. It can only be used to show that certain languages are not regular.

- Let's consider some FA – each state (final or non-final) can be thought of as creating a society of a certain class of strings.
- If there exists a string formed by some path leading to a state, it is part of that state's society.
- If string  $x$  and string  $y$  are in the same society, then for all other strings  $z$ , either  $xz$  and  $yz$  are both accepted or rejected

## Theorem (The Myhill-Nerode Theorem)

*Given a language  $L$ , we shall say two string  $x$  and  $y$  are in the same class if for all possible strings  $z$ ,  $xz$  and  $yz$  are both in  $L$  or both are not*

- 1 *The language  $L$  divides the set of all strings into separate classes*
- 2 *If  $L$  is regular, the number of classes  $L$  creates is finite.*
- 3 *If the number of classes  $L$  creates is finite, then  $L$  is regular*

# Proving the Myhill-Nerode Theorem

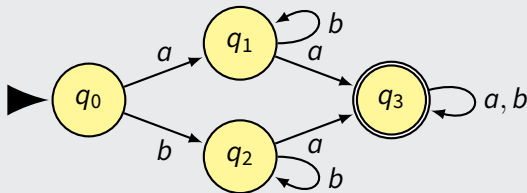
## Proof by contradiction – Part 1.

- Split classes in an intentionally bad way: Suppose any two students at college are in the same class if they have taken a course together
- $A$  and  $B$  may have taken history together,  $B$  and  $C$  may have taken geography together, but  $A$  and  $C$  never took a class together. Then  $A$ ,  $B$ , and  $C$  are not all in the same class.
- If  $AZ$  and  $BZ$  are always in  $L$  and  $BZ$  (or not) and  $CZ$  are always in  $L$  (or not), then  $A$ ,  $B$ , and  $C$  must all be in the same class
- If  $S$  is in a class with  $X$  and  $S$  is also in a class with  $Y$ , then by reasoning above  $X$  and  $Y$  must be in the same class.
- Therefore,  $S$  cannot be in two different classes. No string is in two different classes and every string **must** be in some class.
- Therefore, every string is in exactly one class □

# Proving the Myhill-Nerode Theorem

## Proof of Part 2.

- If  $L$  is regular, then there is some FA that accepts  $L$ .
- Its finite number of states create a finite division of all strings into a finite number of societies.
- The problem is that two different states may define societies that are actually the same class



- Society “class” of  $q_1$  and  $q_2$ : any word in them when followed by a string  $z$  will be accepted IFF  $z$  contains an  $a$
- Since the societies are in the same class, and there are finitely many societies, there must be a finite number of classes.

# Proving the Myhill-Nerode Theorem

## Proof by (pseudo-)construction – Part 3.

Let the finitely many classes be  $C_1, C_2, \dots, C_n$  where  $C_1$  is the class containing  $\lambda$ . We will transform these classes into an FA by showing how to draw the edges between (and assign start and final states)

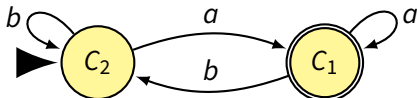
- 1 The start state must be  $C_1$  because of  $\lambda$
- 2 If a class contains one word of  $L$  then  $w \in L \ \forall w \in C$ . Let  $s \in L, w \in L \mid w \in C_k$ . When  $z = \lambda, w\lambda \in L \wedge s\lambda \in L$  (or not). Label all states that are subsets of  $L$  as final states.
- 3 Repeat the following for all classes  $C_m$ :  
If  $x \in C_m \wedge y \in C_m$ , then  $\forall z (xz \in L \wedge yz \in L)$ .  
Let  $C_a = xa \ \forall x \in C_m$ . Draw an  $a$ -edge from  $C_m$  to  $C_a$ .  
Let  $C_b = xb \ \forall x \in C_m$ . Draw an  $b$ -edge from  $C_m$  to  $C_b$ .
- 4 Once outgoing edges are drawn for all classes, we have an FA



# Examples using Myhill-Nerode Theorem

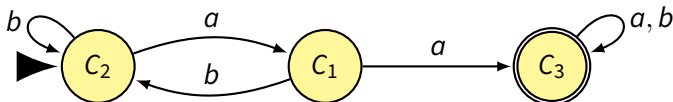
## All words that end in $a$

- $C_1$  – all strings that end in  $a$  (final)
- $C_2$  – all strings that don't end in  $a$  (start)



## All words that contain a double $a$

- $C_1$  – strings without  $aa$  that end in  $a$
- $C_2$  – strings without  $aa$  that end in  $b$  or  $\lambda$
- $C_3$  – strings with  $aa$





# Examples using Myhill-Nerode Theorem

## Showing languages are regular

- EVEN-EVEN
- two or more  $b$ 's
- start and end with the same letter

## Showing languages are non-regular

- $a^n b^n$
- $a^n b a^n$
- EQUAL
- PALINDROME

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## Showing languages are non-regular

- $a^n b^n$  We only need to observe that  $a, aa, aaa, \dots$  are all in different classes because there's exactly  $b^m$  that will match  $a^m$
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- $a^n b a^n$  The strings  $ab, aab, aaab, \dots$  are all in different classes because we need a matching  $ba^m$  for each class
- EQUAL
- PALINDROME

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- EQUAL Because for each of the strings  $a, aa, aaa, aaaa, \dots$  some  $z = b^m$  will be alone in EQUAL
- PALINDROME

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- $a^n b a^n$  The strings  $ab, aab, aaab, \dots$  are all in different classes because we need a matching  $ba^m$  for each class
- EQUAL Because for each of the strings  $a, aa, aaa, aaaa, \dots$  some  $z = b^m$  will be alone in EQUAL
- PALINDROME  $ab, aab, aaab, \dots$  are all in different classes. For each, one value of  $z = a^m$  will create a PALINDROME when added but to no other

## Bonus: Prefixes

### Definition

If  $R$  and  $Q$  are languages, then the language “the prefixes of  $Q$  in  $R$ ,” denoted by the symbolism **Pref( $Q$  in  $R$ )** is the set of all strings of letters that can be concatenated to the front of some word in  $Q$  to produce some word in  $R$

$$\text{Pref}(Q \text{ in } R) = \text{all strings } p \text{ such that } q \in Q, w \in R \mid pq = w$$

### Theorem

*If  $R$  is regular and  $Q$  is **any** language whatsoever, then the language*

$$P = \text{Pref}(Q \text{ in } R)$$

*is regular*

## Homework 6b

- 1 Use the pumping lemma, show each are non-regular
  - i  $a^n b^{n+1}$
  - ii  $a^n b^n a^n$
- 2 Using Myhill-Nerode theorem, show each are non-regular
  - i EVEN-PALINDROME (all PALINDROMES with even length)
  - ii SQUARE ( $a^{n^2} \mid n \geq 1$ )
- 3 Let us define PARENTHESSES to be the set of all algebraic expressions where everything but parentheses have been deleted e.g.  $\{\lambda () (()) ()() ((())) (())() ()() ()()() \dots\}$ 
  - 1 Show its non-regular using Myhill-Nerode
  - 2 Show the pumping lemma can't prove that it's non-regular
  - 3 If we convert ( to  $a$  and ) to  $b$ , show that PARENTHESSES becomes a subset of EQUAL in which each word has the property that when read from left-to-right, there are never more  $b$ 's than  $a$ 's