

CSCI 340: Computational Models

# Recursive Definitions

# A New Method for Defining Languages

**Recursive Definitions** allow us to define sets in a unique way

- 1 Specify some basic objects in the set.
- 2 Give rules for constructing additional objects in the set.
- 3 Declare that no objects except those constructed are allowed

## Example

### **Standard Definition:**

EVEN is the set of all positive whole numbers divisible by 2

### **Alternative Definition:**

EVEN is the set of all  $2n$  where  $n = 1\ 2\ 3\ 4\ \dots$

### **Recursive Definition:**

- 1 2 is in EVEN
- 2 if  $x$  is in EVEN, then so is  $x + 2$ .
- 3 The *only* elements in EVEN are those produced by (1) and (2)

# Fun with EVEN

## Question

Why would we ever want to use the recursive definition for EVEN?

## Example

Prove 14 is in set EVEN

## Proof by Standard Definition.

Divide 14 by 2 and find there is no remainder



## Proof by Alternative Definition.

*Somehow* come up with the number 7

Since  $(2)(7) = 14$ , 14 is in EVEN



# Fun with EVEN

## Proof by Recursive Definition.

By *Rule 1*, 2 is in EVEN.

By *Rule 2*, we know  $2 + 2 = 4$  is also in EVEN.

By *Rule 2*, we know  $4 + 2 = 6$  is also in EVEN.

By *Rule 2*, we know  $6 + 2 = 8$  is also in EVEN.

By *Rule 2*, we know  $8 + 2 = 10$  is also in EVEN.

By *Rule 2*, we know  $10 + 2 = 12$  is also in EVEN.

By *Rule 2*, we know  $12 + 2 = 14$  is also in EVEN. □

*Aside:* This is completely disgusting

Can we come up with a better recursive definition?

# Fun with EVEN

## A Better Recursive Definition for EVEN

- 1 2 is in EVEN
- 2 If  $x$  and  $y$  are both in EVEN, then so is  $x + y$
- 3 The *only* elements in EVEN are those produced by (1) and (2)

## Proving 14 is in EVEN by our new Definition.

By *Rule 1*, 2 is in EVEN.

By *Rule 2*,  $x = 2, y = 2 \rightarrow 4$  is also in EVEN.

By *Rule 2*,  $x = 2, y = 4 \rightarrow 6$  is also in EVEN.

By *Rule 2*,  $x = 4, y = 4 \rightarrow 8$  is also in EVEN.

By *Rule 2*,  $x = 6, y = 8 \rightarrow 14$  is also in EVEN. □

Why is this definition better?

# INTEGERS

## Example

- 1 is in INTEGERS
- If  $x$  is in INTEGERS, then so is  $x + 1$ .

Note: we will omit rule 3 from now on

If we wanted the set INTEGERS to include positive and negative integers, we need to change our definition:

## Example

- 1 is in INTEGERS
- If  $x$  and  $y$  are both in INTEGERS, then so are  $x + y$  and  $x - y$ .

# POSITIVE

## Example

- 1  $x$  is in POSITIVE
- 2 If  $x$  and  $y$  are both in POSITIVE, then so are  $x + y$  and  $xy$ .

Problem: there no base for  $x$

## Example (An Attempted Variant)

- 1  $x$  is in INTEGERS, "." is a decimal point, and  $y$  is any finite string of digits, even one starting with 0's, then  $x.y$  is in POSITIVE

Problem 1: doesn't generate all real numbers e.g.  $\pi$ .

Problem 2: definition is not recursive

## Example (A Better Definition)

- 1 1 is in POSITIVE
- 2 If  $x$  and  $y$  are in POSITIVE, then so are  $x + y$ ,  $x * y$ , and  $x/y$

This defines some set, but not all...

# POLYNOMIAL

A *polynomial* is a finite sum of terms, each of which is of the form: a real number times a power of  $x$  (that may be  $x^0 = 1$ )

## Example

- 1 Any number is in POLYNOMIAL
- 2 The variable  $x$  is in POLYNOMIAL
- 3 If  $p$  and  $q$  are in POLYNOMIAL, then so are  $p + q, p - q, (p), pq$

## Problem

Show  $3x^2 + 7x - 9$  is in POLYNOMIAL



# POLYNOMIAL

## Problem

Show  $3x^2 + 7x - 9$  is in POLYNOMIAL

## Proof.

By Rule 1: 3 is in POLYNOMIAL.

By Rule 2:  $x$  is in POLYNOMIAL.

By Rule 3:  $(3)(x)$  is in POLYNOMIAL; call it  $3x$ .

By Rule 3:  $(3x)(x)$  is in POLYNOMIAL; call it  $3x^2$ .

By Rule 1: 7 is in POLYNOMIAL; call it  $3x^2$ .

By Rule 3:  $(7)(x)$  is in POLYNOMIAL; call it  $7x$ .

By Rule 3:  $3x^2 + 7x$  is in POLYNOMIAL.

By Rule 1:  $-9$  is in POLYNOMIAL.

By Rule 3:  $3x^2 + 7x - 9$  is in POLYNOMIAL. □

# Advantages and Disadvantages of POLYNOMIAL

## Advantages

- sums and products of polynomials are obviously polynomials
- if we have a proof for differentiable, we can show all polynomials are differentiable
- **AND** we don't need to give the best algorithm for it

## Disadvantages

- Tedious building blocks
- 

**Reminder:** this is computer theory – we are interested in proving that tasks are possible, not necessarily knowing the best algorithm in *how* to do it

# Examples

## Example ( $x^+$ )

- ①  $x$  is in  $L_1$
- ② If  $w$  is any word in  $L_1$ , then  $xw$  is also in  $L_1$

## Example ( $x^*$ )

- ①  $\lambda$  is in  $L_2$
- ② If  $w$  is any word in  $L_2$ , then  $xw$  is also in  $L_1$

## Example ( $x^{odd}$ )

- ①  $\lambda$  is in  $L_3$
- ② If  $w$  is any word in  $L_3$ , then  $xxw$  is also in  $L_3$

# Examples

## Example (INTEGER)

- ① 1 2 3 4 5 6 7 8 9 are in INTEGERS
- ② If  $w$  is any word in INTEGERS, then  $w^0 w^1 w^2 w^3 w^4 w^5 w^6 w^7 w^8 w^9$  are also in INTEGERS

## Example (Kleene Closure)

- ① If  $S$  is a language, then all the words of  $S$  are in  $S^*$
- ②  $\lambda$  is in  $S^*$
- ③ If  $x$  and  $y$  are in  $S^*$ , then so is the concatenation  $xy$

**Note:** this definition of Kleene Closure is *easier* to understand.

# Arithmetic Expressions

What is a valid arithmetic expression?

## Alphabet

$$\Sigma = \{ 0 1 2 3 4 5 6 7 8 9 + - * / ( ) \}$$

## Invalid Strings?

$(3 + 5) + 6)$        $2(/8 + 9)$        $(3 + (4-8)$        $2) - (4$

## Problem

*What makes a valid string?*

## Solution

*Recursive Definition...*

# Recursive Definition for Arithmetic Expressions

- ① Any number (positive, negative, or zero) is in AE
- ② If  $x$  is in AE, then so are:
  - i  $(x)$
  - ii  $-x$  (provided  $x$  does not already start with a minus sign)
- ③ If  $x$  and  $y$  are in AE, then so are:
  - i  $x + y$  (if the first symbol in  $y$  is not  $+$  or  $-$ )
  - ii  $x - y$  (if the first symbol in  $y$  is not  $+$  or  $-$ )
  - iii  $x * y$
  - iv  $x / y$
  - v  $x ** y$  (notation for exponentiation)

There may be strings which we may not know the *meaning* of, but definitely argue that strings are a part of AE.

**For example:**  $8/4/2$  could mean  $8/(4/2)$  or  $(8/4)/2$  depending on order of operations

# Examples with Arithmetic Expressions

## Theorem

*An arithmetic expression cannot contain the character \$*

## Proof.

\$ is not part of any number, so it cannot be induced (by *Rule 1*)

If a string,  $x$ , doesn't contain \$, then neither can  $(x)$  or  $-x$  (by *Rule 2*)

If neither  $x$  nor  $y$  contains \$, then neither do any induced (by *Rule 3*)

The character \$ can never be inserted into AE



# Examples with Arithmetic Expressions

## Theorem

*No AE can begin or end with symbol /*

## Proof.

No number begins or ends with / so it cannot occur (by *Rule 1*).

Any AE formed by *Rule 2* must begin and end with parentheses or begin with a minus sign.

If  $x$  does not already begin with / and  $y$  does not end with / , then any AE formed by any clause in *Rule 3* will not begin or end with a /.

These rules prohibit an expression beginning or ending with /.





# Examples with Arithmetic Expressions

## Theorem

*No AE can contain the substring //*

## Proof (by contradiction).

- Let us suppose there were some AE that contained the substring //. Let a shortest of these be a string  $w$ .
- $w$  must be formed by some sequence of applying Rules 1, 2, and 3. The last rule used producing  $w$  must have been *Rule 3iv*.
- Splitting  $w$  from *Rule 3iv* would result in  $w_1/w_2$  – meaning that  $w_1$  would need to end with / or  $w_2$  would need to start with /.
- Since there is no rule that possibly yields a trailing / or leading /, then  $w_1$  or  $w_2$  must contain //.
- Since we claimed  $w$  was the shortest AE that contained the substring //, this is not feasible.
- Therefore, nothing in the set AE can have the substring //. □

# Well-Formed Formulas

$$\Sigma = \{\neg \rightarrow ( ) a b c d \dots\}$$

- ① Any single Latin letter is a WFF.
  - ② If  $p$  is a WFF, then so are  $(p)$  and  $\neg p$ .
  - ③ If  $p$  and  $q$  are WFFs, then so is  $p \rightarrow q$
- $p \rightarrow$
  - $\rightarrow p$
  - $(p \rightarrow$
  - $p)$
  - $p) \rightarrow p($
  - $p \rightarrow ((p \rightarrow p) \rightarrow q)$
  - $\neg p \rightarrow p$

## Homework 1b

- 5 Using any recursive definition of the set EVEN, show that all the numbers in it end in the digits 0, 2, 4, 6, or 8
- 6 Show that if  $n$  is less than 31, then  $x^n$  can be shown to be in POLYNOMIAL in fewer than eight steps
- 7 Give a recursive definition for the language PALINDROME. Make sure it works for even and odd length strings.  $\Sigma = \{a b\}$
- 8 Give recursive definitions for the following languages.  $\Sigma = \{a b\}$ 
  - i the language EVENSTRING of all words of even length
  - ii the language ODDSTRING of all words of odd length
  - iii the language AA of all words containing substring  $aa$
  - iv the language NOTAA of all words **not** containing substring  $aa$